

Derry City & Strabane District Council Operational Audit Plan 2019 – 2020

Audit Plan sub headings	Operational / Audit Area
Work on completion of 2018/19 plan:	
	Leisure Centre Audit – income collection Templemore Sports Complex
	Police and Community Safety Partnership 17/18 (PCSP)
Follow up Audit Work:	
	Waste and Environmental Management
	Licensing
Audit of other areas:	
	Planning
	Events
	Information and Communications Technology (ICT)
	Agresso Upgrade Assurance work – Accounts Payable / Accounts Receivable
	North West Region Waste Management Group
	Police and Community Safety Partnership 18/19 (PCSP)
	North West Development Group
	Audit of the project of the transfer of the ILEX Strategy and Regeneration function to DCSDC (Year 2)
	Capital Projects
	Alley Arts Theatre
	Payroll
	Leisure Centre Audit – income collection Location to be decided.

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Other work:	
	Delivery of the CoDA Operations Ltd Annual Audit Plan
	Ad hoc Advisory work
	Systems Development Consultancy
	Annual Stock takes Assurance work
	Review of Council procedures
	Liaison with Risk Management process
	Investigation work as required in line with Counter Fraud and Whistleblowing policies.
	Fraud Risk Management meetings
	Policy Maintenance & Training <ul style="list-style-type: none"> ○ Counter Fraud Policy; ○ Whistleblowing Policy; ○ Bribery Policy; ○ Gifts and Hospitality Training; ○ Conflict of Interest Policy.
	NIAO Liaison / Completion of Annual Questionnaire on Fraud Risk Management
	Audit Planning processes: <ul style="list-style-type: none"> ○ Annual Audit Strategy ○ Annual Audit Plan
	Completion of Annual Governance Statement and associated work; <ul style="list-style-type: none"> ○ Review of Code of Governance; ○ Review of compliance with Public Sector Internal Audit Standards ○ Annual Audit Report
	Preparation of Committee papers for Assurance, Audit and Risk Committee.

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Provision of Training:	
	Fraud Awareness Training
	Assurance, Audit and Risk Committee Training
Additional Audit Assignments:	
(These audits will be completed depending on completion of the above plan of work)	
	Grounds Maintenance
	Visitor Services
	Cemeteries