

## Internal Audits Progress at 25-09-2018

<b>Main Actions Target Date</b>	<b>Audit Date</b>	<b>Type</b>	<b>Location</b>	<b>Scope</b>	<b>Findings</b>	<b>NC</b>	<b>IA</b>	<b>Complete</b>	<b>Incomplete</b>	<b>% achieved</b>
25/07/2016, 25-11-2016, 31-10-2017, 31-01-2018	25/05/16	Internal	Human Resources	The audit involved an audit of the HR Function	10	7	3	9	1	90%
20/01/17	23/11/16	Internal	Strahans Road Fleet	The audit involved an audit of Fleet at Strahans Rd	19	15	4	17	2	89%
28/02/17	18/01/17	Internal	Capital Development and Building Control	The audit involved an audit of Capital Development and Building Control	8	3	5	7	1	88%
01/08/17	31/05/17	Internal	Lisahally Cattle Market	The audit involved an audit of Lisahally Cattle Market	18	14	4	17	1	94%
01/08/17	14/06/17	Internal	Strahans Road WTS & CAS	The audit involved an audit of the Strahans Road CA Site and Waste Transfer Station	22	13	9	21	1	95%
31/08/17	21/07/17	Internal	Property Services (M&E and Property Maintenance)	The audit involved an audit of Property Services (M&E and Property Maintenance)	24	12	12	24	0	100%
20/10/17	12/09/17	Internal	Food Waste Collection	The audit involved an audit of Food Waste Collection including a visit to Sion Mills to observe the operation	11	1	10	11	0	100%

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24/11/17	12/09/17	Internal	Foyle Valley Railway Museum	The audit involved an audit of the Railway Museum premises as operated by Destined	2	2	0	2	0	100%
31/10/17	22/09/17	Internal	Pennyburn Civic Amenity Site	The audit involved an audit of the Pennyburn CA site	18	10	8	17	1	94%
31/10/17	22/09/17	Internal	Pennyburn Civic Amenity Site inc Property Compliance	The audit involved an audit of the Pennyburn CA site including Property Compliance	7	5	2	7	0	100%
31/10/17	22/09/17	Internal	Foyle Arena	The audit involved an audit of Foyle Arena	10	6	4	10	0	100%
31/10/17	22/09/17	Internal	Foyle Arena inc Property Compliance	The audit involved an audit of Foyle Arena including Property Compliance	9	7	2	9	0	100%
31/10/17	25/09/17	Internal	Guildhall	The audit involved an audit of the Guildhall	11	5	6	10	1	91%
31/10/17	25/09/17	Internal	Guildhall inc Property Compliance	The audit involved an audit of the Guildhall including Property Compliance	11	9	2	11	0	100%
31/10/17	25/09/17	Internal	Parks Management	The audit involved an audit of the arrangements in place for Parks Management	6	2	4	6	0	100%

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<i>31/10/2017 and 10-01- 2018</i>	30/10/17	Internal	Events	The audit involved an audit of the event management arrangements for the Halloween Celebrations 2017	16	3	13	16	0	<b>100%</b>
<i>31/12/2017 and 31/01/2018</i>	23/11/17	Internal	Alley Theatre	The audit involved an audit of the Alley Theatre	8	3	5	8	0	<b>100%</b>
<i>31/12/17</i>	23/11/17	Internal	Alley Theatre - Property Compliance	The audit involved an audit of the Alley Theatre including Property Compliance	8	5	3	8	0	<b>100%</b>
<i>31/12/17</i>	23/11/17	Internal	Derg Valley Leisure Centre	The audit involved an audit of the Derg Valley LC	7	3	4	7	0	<b>100%</b>
<i>31/12/17</i>	23/11/17	Internal	Derg Valley Leisure Centre - Property Compliance	The audit involved an audit of the Derg Valley LC inc Property Compliance	7	6	1	7	0	<b>100%</b>
<i>31/01/18</i>	06/12/17	Internal	City Baths	The audit involved an audit of the City Baths	2	0	2	2	0	<b>100%</b>
<i>31/01/18</i>	06/12/17	Internal	City Baths - Property Compliance	The audit involved an audit of the City Baths - Property Compliance	7	6	1	7	0	<b>100%</b>

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<i>08/01/2018 and 15/01/2018</i>	19/12/17	Internal	Strahans Road WTS & CAS	The audit involved a discussion on the progress of actions noted in the Strahans Rd risk review dated 30 11 17	4	1	3	3	1	<b>75%</b>
<i>09/03/18</i>	26/01/18	Internal	PCSP Department	The audit involved a review of controls in relation to PCSP	10	2	8	10	0	<b>100%</b>
<i>09/03/18</i>	26/01/18	Internal	1-3 Waterloo Place	The audit involved a review of controls in relation to 1-3 Waterloo Place (PCSP) - Property Compliance	3	3	0	0	3	<b>0%</b>
<i>09/03/18</i>	26/01/18	Internal	Lettershandoney	The audit involved a review of controls at Mullabuoy Centre Lettershandoney	5	0	5	5	0	<b>100%</b>
<i>09/03/18</i>	26/01/18	Internal	Lettershandoney - Property Compliance	The audit involved a review of controls at Mullabuoy Centre Lettershandoney in relation to Property Compliance	5	5	0	5	0	<b>100%</b>
<i>06/04/18</i>	23/02/18	Internal	Irish Street Community Centre	The audit involved an audit of the Irish Street Community Centre	1	0	1	1	0	<b>100%</b>

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06/04/18	23/02/18	Internal	Irish Street Community Centre - Property Compliance	The audit involved an audit of the Irish Street Community Centre in relation to Property Compliance	4	2	2	4	0	100%
06/04/18	23/02/18	Internal	Oakland CC	The audit involved an audit of the Oakland Community Centre (Trojans FC)	4	3	1	4	0	100%
06/04/18	23/02/18	Internal	Oakland CC - Property Compliance	The audit involved an audit of the Oakland Community Centre (Trojans FC) in relation to Property Compliance	7	3	4	7	0	100%
30/04/18	15/03/18	Internal	Grounds Maintenance	The audit involved a review of controls in relation Grounds Maintenance	4	0	4	4	0	100%
30/04/18	15/03/18	Internal	Streetscape Services	The audit involved a review of controls in relation to Streetscape Services	10	1	9	9	1	90%
30/04/18	15/03/18	Internal	City Cemetery	The audit involved a review of controls at the City Cemetery	11	5	6	10	1	91%

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30/04/18	15/03/18	Internal	City Cemetery - Property Compliance	The audit involved a review of controls at City Cemetery in relation to Property Compliance	5	4	1	5	0	100%
15/05/18	20/03/18	Internal	Melvin Sports Complex	The audit involved an audit of Melvin Sports Complex in relation to a) Local Management and b) Property Compliance	7	3	4	7	0	100%
15/05/18	20/03/18	Internal	Melvin Sports Complex - Property Compliance	The audit involved an audit of Melvin Sports Complex in relation to b) Property Compliance	7	2	5	7	0	100%
15/05/18	20/03/18	Internal	Strand Road Civic Offices	The audit involved an audit of the Facilities Management arrangements in Council Offices at 98 Strand Road in relation to a) Local Management and b) Property Compliance	15	8	7	14	1	93%

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15/05/18	20/03/18	Internal	Strand Road Civic Offices - Property Compliance	The audit involved an audit of the Facilities Management arrangements in Council Offices at 98 Strand Road in relation to Property Compliance	11	5	6	11	0	100%
01/06/18	23/04/18	Internal	Environmental Health Services - Food Safety	The audit involved a review of controls in relation to Food Safety	6	0	6	6	0	100%
01/06/18	23/04/18	Internal	Environmental Health Services - Dog Control & Animal Welfare	The audit involved a review of controls in relation to Dog Control and Animal Welfare (inc Dog Pound) in relation to a) Local Management and b) Property Compliance	5	3	2	5	0	100%
01/06/18	23/04/18	Internal	Environmental Health Services - Dog Control & Animal Welfare - Property Compliance	The audit involved a review of controls in relation to Dog Control and Animal Welfare (inc Dog Pound) in relation to Property Compliance	4	2	2	4	0	100%

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19/07/18	21/05/18	Internal	Contractor Management (Property Maintenance and M&E Sections)	The audit involved a review of controls in relation to Contractor Management across Property Maintenance and M&E Sections (21-05-2018) and a Follow Up Progress Meeting with agreed actions (19-07-2018)	38	6	32	38	0	100%
06/08/18	01/06/18	Internal	Riversdale Leisure Centre	The audit involved an audit of the Riversdale LC in relation to a) Local Management and b) Property Compliance	3	2	1	3	0	100%
06/08/18	01/06/18	Internal	Riversdale Leisure Centre inc Property Compliance	The audit involved an audit of the Riversdale LC in relation to Property Compliance	7	4	3	7	0	100%
06/08/18	01/06/18	Internal	Strathfoyle Civic Amenity Site	The audit involved an audit of the Strathfoyle Civic Amenity Site in relation to a) Local Management and b) Property Compliance	15	11	4	8	7	53%



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06/08/18	01/06/18	Internal	Strathfoyle Civic Amenity Site inc Property Compliance	The audit involved an audit of the Strathfoyle Civic Amenity Site in relation to Property Compliance	3	1	2	3	0	100%
10/08/18	25/06/18	Internal	Planning	The audit involved a review of controls in relation to the Planning Service function	12	2	10	9	3	75%
10/08/18	25/06/18	Internal	Skeoge Depot	The audit involved a review of controls in relation to the management of the Skeoge Depot facilities in relation to a) Local Management and b) Property Compliance	17	11	6	5	12	29%
24/08/18	05/07/18	Internal	Fleet - Skeoge Depot	The audit involved a review of controls in relation to Fleet Management at Skeoge	13	8	5	0	13	0%
31/08/18	19/07/18	Internal	Community Services	The audit involved a review of controls in relation to Community Services office based functions	3	2	1	3	0	100%

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30/09/18	23/08/18	Internal	Licensing and SAG	The audit involved a review of controls in relation to SAG and Licensing	2	0	2	0	2	0%
30-09-2018, 31-10-2018, 15-11-2018	23/08/18	Internal	Capital Development and Building Control	The audit involved a review of controls in relation to Capital Development and Building Control	8	1	7	0	8	0%
15/10/18	04/09/18	Internal	Tower Museum	The audit involved a review of controls in relation to the Tower Museum in relation to Local Management	10	3	7	4	6	40%
15/10/18	04/09/18	Internal	Tower Museum inc Property Compliance	The audit involved a review of controls in relation to the Tower Museum in relation to Property Compliance	11	9	2	6	5	55%
30-09-2018, 31-10-2018	04/09/18	Internal	Management System of OHSAS 18001	This audit focuses on the management system elements of OHSAS18001	11	0	11	0	11	0%